Construction of Aromatic [5,5] Spiroketals via Hypoiodite-Catalyzed Etherification Combined in Relay Cascades

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ABSTRACT



An approach is developed for the synthesis of bisbenzannelated spiro[5,5]ketals via a catalytic relay reaction cascade involving a new cycloetherification, which is prompted by fluoride and catalyzed by the hypoiodite species generated in situ from irradiative aerobic oxidation of an iodide ion formed in the former step of the reaction cascade.

Intramolecular α -oxyphenylation of carbonyl compounds has proven to be highly useful for the formation of oxa-benzocycles.¹ Generally, oxidative conditions are used in α -oxyphenylation. There are relatively few reports of the α -oxyphenylation of carbonyl compounds, making investigations on new conditions and their adaptability necessary and worthwhile. Organohypervalent iodine reagents have attracted significant recent interest as versatile and environmentally benign oxidants with many applications in organic synthesis.^{2,3} Most recent research found organohypervalent iodine reagents useful for the α -cyclo-etherification of carbonyl compounds, which may be applicable for the construction of bisbenzannulated spiroketals.

For synthetic chemists, developing efficient and elegant chemical processes that allow for the rapid creation of skeleton-defined molecular complexity and diversity is still valuable and challenging work.⁴ To achieve this goal, cascade reactions have been considered as one of the most effective approaches, in which multiple transformations have occurred in a single vessel and increase resource efficiency for the overall process.⁵ Furthermore, the combination of two catalysts has been elegantly employed in one-pot and in cascade reactions.^{6,7} In particular, catalysts with orthogonal, but mutually compatible, reactant activation modes have proven to be ideal catalysts for the relay catalysis.⁸ This development is very interesting in that the

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byproduct from the first cycle takes part in the subsequent reaction as a catalyst.





The reports on the synthesis of bisbenzannelated spiroketals especially spiro[5,5]ketal skeletons (Figure 1) have not fully elucidated them, despite the importance of these skeletons in the larger spirocycle family. Therefore, as a continuation of our previous work on the synthesis of spiroketal cores⁹ and to relay the significance and novelty of the reaction cascade, we proposed to integrate the Hetero-Diels-Alder (HDA) reaction for a new, more combined relay catalysis, new transformation conditions, and a synthesis of the bioactive spiroketals. Through this investigation, three reactions were found to be combined in a reaction cascade, including a silica gel-mediated¹⁰ hydrolyzation of amino acetal, silica gel-mediated decarbonylation of acetal, and in situ generated hypoiodite-catalyzed cyclo-etherification of carbonyl compounds. The cycloetherification in this case is a new catalytic application of hypoiodite reagents, in which two novelties are very important: (1) the hypoiodite in this transformation is generated from irradiative aerobic oxidation of iodide ion; (2) this is also a new oxidative nucleophile substitution of hydrogen prompted by fluoride. In this cascade, silica gel and TBAI acted as catalysts in succession, forming a catalytic relay. This is a new type of catalytic relay where TBAI, the byproduct of HDA reaction,¹¹ acted as a

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catalyst in the α -oxyphenylation, which was the last step

Our investigation was initiated by reacting HDA with 1a



(TBAF), 2a was deprotected and converted to a reactive species, o-quinone methide (o-QM), which was captured immediately and formed 3a. However, when the crude HDA solution was eluted onto silica gel, 5a along with a small amount of 4a and 6a was found, indicating that 3a can be converted to 4a or 5a by silica gel. To investigate further, a successive experiment was performed. After the HDA reaction was completed, silica gel was added to the resultant solution in a clear round bottle flask in the davtime under natural light and stirred for several hours in petroleum ether (PE) in the air. Surprisingly, the yield of **6a** increased and **5a** formed subsequently decreasing with increasing reaction time. We postulated that the cascade reaction developed through the steps shown in Scheme 1. The HDA reaction formed the amino acetal 3a, which then was hydrolyzed by H₂O under a silica gel reaction to yield the acetal 4a. The subsequent degradation of 4a under a silica gel reaction formed 5a, which was converted to **6a** through the α -oxyphenylation of a carbonyl compound.

To demonstrate our postulation, purified $3a^{12}$ was treated with silica gel in petroleum ether, resulting in 5a with a 93% yield and a small amount of 4a being found in the residue in the initial stage of the process. This result clearly showed that 3a converted to 5a using 4a as an intermediate.

Further optimization and substrate screening were conducted at each stage of the reaction. First, experiments were performed to optimize the HDA reaction.¹³ Solvents at varying ratios of 1a/2a/TBAF were screened. Dichloromethane (DCM) was found to be the best solvent, and the best result was obtained when the ratio of 1a, 2a, and TBAF of 1:2:2.8 was used. Subsequently, the sequence of adding substrates and reagents was also studied.

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⁽¹²⁾ Compound 3 was purified by chromatography in a silica gel support doped with NEt₃.

⁽¹³⁾ See Supporting Information.

Using the modified conditions, the combination reaction of HDA/hydrolyzation of amino acetal/degradation of acetal was investigated with varied 1 and 2. The main products of the reaction, 5a-5j, are formed, and the yields were good to excellent. Similar to the HDA reactions, the yield of 5 changed with the change of the electronic effect of \mathbb{R}^3 .

This mechanism of the cyclo-etherification still does not specify the reagent and conditions that prompted the cyclization of 5a to 6a. To gain a better understanding of this process, a series of controlled experiments were conducted with the purified substrate 5a. Initially, irradiation was screened. It was found that 6a did not form in the dark (Table 1, entry 1). Furthermore, purified 5a was treated under irradiative conditions, without any additives (Table 1, entry 2). However, neither natural light nor ultraviolet light produced a diagnosable reaction. Another controlled experiment was designed, and the reaction was carried out in the absolute absence of oxygen. Purified 5a was treated with TBAI (20 mol %) and TBAF (30 mol %) under irradiation of UV light and an argon atmosphere. Dramatically, no reaction happened at all (Table 1, entry 3). This result showed that oxygen is obligatory for the transformation. So air and oxygen were introduced respectively into the reaction mixture of 5a, TBAI, and TBAF in THF. Compound 6a was obtained smoothly in moderate yield. This work describes a new method for the α -oxyphenylation of a carbonyl compound. All of these experiments showed that irradiation, TBAI, and oxygen are obligatory (Table 1, entry 4), TBAF is a good auxiliary reagent for this transformation (Table 1, entry 5), and oxygen gave better results than air (Table 1, entry 5, 6). At the same time, a hypothesis was proposed for the cycloetherification. The iodide may be oxidized to iodine by oxygen, and the iodine generated in situ catalyzed the cyclization. In order to prove this process, iodine was used to catalyze the reaction instead of TBAI. However, iodine gives an obviously lower yield than TBAI (Table 1, entry 7). Furthermore, increasing the amount of TBAF to 40 mol % increased the yield to 76% (Table 1, entry 8).

In this transformation, iodide was shown to be the main catalyst, with TBAF possibly acting as a cocatalyst. To the best of our knowledge, there is no precedent for the aerobic irradiative reactions catalyzed by iodide and prompted by fluoride for the α -oxyphenylation of carbonyl compounds, but our protocol described here provides a new synthetic mode.

According to the above results and the literature¹⁴ on hypoiodite and oxidative cyclo-etherification, a mechnism was hypothesized as follows (Scheme 2): iodide was oxidized by oxygen to hypoiodite and fluoride promoted the enolization of ketone **5a** to **7a**. Then an eletrophilic substitution of **7a** and hypoiodite gave the iodo or hypoiodo **8a**. The subsequent intramolecular $S_N l$ displacement of an iodo or a hypoiodo group in **8a** by a phenolic hydroxyl Table 1. Synthetic Conditions of Cyclization of Compound 6a



entry	solvent	additive (mol %)	light source	atm	time (h)	yield ^{a,b} (%)
1	THF^c	TBAI (20)	none	O ₂ /	12	0
2	THF	none	natural light or UV ^d	air O ₂ / air	12	0
3	THF	TBAI (20) TBAF (30)	UV	Ar	3	0
4	THF	TBAI (20)	UV	air	3	17
5	THF	TBAI (20) TBAF (30)	UV	air	3	44
6	THF	TBAI (20) TBAF (30)	UV	O_2	3	56
7	THF	$I_2(20)$ TBAF (30)	UV	air	3	26
8	THF	TBAI (20) TBAF (40)	UV	O_2	3	76

^{*a*} Yields were calculated after column chromatography by silica gel. ^{*b*} Unless otherwise noted, all reactions were carried out with **5a** (0.1 mmol) in 3 mL of THF. ^{*c*} THF was dried by distillation over LiAlH₄. ^{*d*} Unless otherwise noted, photolysis was performed in a flask under irradiation at $\lambda = 200-700$ nm.

Scheme 2. Hypothesized Mechanism of Hypoiodite-Catalyzed Cyclo-etherification



group activated by a hydrogen bond with fluoride affords spiroketal **6a**.

Using the modified cyclo-etherification conditions (Table 1, entry 8), varied substrates were investigated in this transformation. Under irradiation of a UV lamp, the mixture of 5, TBAI, and TBAF in THF formed 6 in moderate to good yields. Obviously, R^1 , R^2 , and R^3 have different effects on

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 Table 2. Synthesis of Varied Bisbenzannelated Spiro[5,5]ketals

 via Irradiative Aerobic Cyclization



entry	\mathbb{R}^1	\mathbb{R}^2	\mathbb{R}^3	products	yield ^a (%)
1	Н	Н	Н	6a	76
2	Η	Η	Me	6 b	83
3	Η	Н	Br	6c	69
4	Η	Η	COOEt	6d	60
5	Η	MeO	\mathbf{Br}	6e	59
6	Η	MeO	COOEt	6f	55
7	MeO	Н	\mathbf{Br}	6g	64
8	MeO	Н	COOEt	6h	60
9	\mathbf{Br}	Н	\mathbf{Br}	6i	62
10	\mathbf{Br}	Η	COOEt	6j	59

 a Unless otherwise noted, all reactions were carried out with compound 5 (0.1 mmol) in 3 mL of THF.

the conversion. The electron-donating R^1 group slightly decreased the yield (Table 2, entries 3 and 7, entries 4 and 8). The electron-donating R^2 group, however, obviously decreased the efficiencies (Table 2, entries 3 and 5, entries 4 and 6). In contrast to R^1 and R^2 , the electron-donating R^3 group obviously increased the conversion (Table 2, entries 1, 2) and the substrate with an electron-withdrawing R^3 group gave a low yield (Table 2, entries 3, 4).

Finally, the entire cascade reaction was investigated, and the investigations showed that 6a-6j were formed in moderate to good yields from 1a-1d and 2a-2d, which is summarized in Table 3. Of the tested substituents, R³ had the most obvious effect on the conversion. Electron-donating R³ groups benefited the conversion. Hence, the reaction of 1a and 2b was more efficient than that of 1a and 2a. 6d gave the lowest yield when R³ is an electron-withdrawing group.

In summary, a new approach toward the synthesis of the bisbenzannelated spiro[5,5]ketal cores has been developed. This approach involves a catalytic relay cascade of TBAF-induced HDA, silica gel-mediated hydrolyzation/degradation, and cyclo-etherification catalyzed by in situ generation

Table 3. Synthesis of Varied Compound 6 via Cascade Reaction



entry	\mathbb{R}^1	\mathbb{R}^2	\mathbb{R}^3	products	yield ^{a,b} (%)
1	Н	Н	Н	6a	59
2	Н	Н	Me	6b	60
3	Н	Н	\mathbf{Br}	6c	47
4	Н	Н	COOEt	6d	42
5	Н	MeO	Br	6e	40
6	Н	MeO	COOEt	6f	37
7	MeO	Н	\mathbf{Br}	6g	48
8	MeO	Н	COOEt	6h	46
9	\mathbf{Br}	Н	Br	6i	39

^{*a*} Yields were calculated after 4 steps and column chromatography by silica gel. ^{*b*} Unless otherwise noted, all reactions were carried out with compound 5 (0.25 mmol).

of a hypoiodite catalyst based on the irradiative aerobic oxidation of an iodide ion. This is a new catalytic application of an organohypo iodite reagent and new fluorideprompted oxidative nucleophilic substitution of aliphatic hydrogen. This approach also yielded an interesting new relay catalysis reaction, where the byproduct of the first transformation took part in the subsequent reaction cycle as a catalyst. We hope that this work will arouse more attention on multistep cascade reactions and the synthesis of spiroketal compounds.

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Supporting Information Available. Experimental procedures, CIF files, and NMR spectral data for all new compounds. This material is available free of charge via the Internet at http://pubs.acs.org.

The authors declare no competing financial interest.